

TTSEC Registration Checklist

Trader in Securities

Documents to submit:

- Form No. 2 dated and signed by Applicant and Supervising Broker
- Registration fee of US\$100
- Police Certificate of Character
- A statement indicating that there is no bankruptcy order made against him that remains undischarged
- A statement signed by the applicant stating that he/she has no interests, direct or indirect which may conflict with or likely to affect the conduct and integrity of his business as a trader
- A letter from the company advising:
 - the effective date of employment of the applicant
 - stating the applicant's position in the company
 - that he/she is under the direction and supervision of a broker (state the broker's name) employed with them
- Two passport sized photographs