# CLICO INVESTMENT FUND

UNAUDITED STATEMENT

FOR THE QUARTER ENDED 30 SEPTEMBER, 2021

## STATEMENT OF FINANCIAL POSITION

AS AT 30 SEPTEMBER, 2021

(Expressed in Trinidad and Tobago Dollars)

| | 30-Sep-21 $ | 30-Jun-21 $ |
|---|---|---|
| **ASSETS** | | |
| Cash and cash equivalents | 7,741,772 | 63,196,796 |
| Investment securities | 6,076,872,435 | 6,221,934,158 |
| Interest receivable | 12,525,238 | 4,993,764 |
| **Total assets** | **6,097,139,445** | **6,290,124,718** |
| **LIABILITIES** | | |
| Accrued expenses | 75,133 | 67,085 |
| Fund administration fee | 76,310 | 551,628 |
| Unclaimed dividends | 6,471,562 | 6,209,254 |
| | 6,623,005 | 6,827,967 |
| **EQUITY** | | |
| Capital account | 5,100,000,000 | 5,100,000,000 |
| Undistributed income | 990,516,440 | 1,183,296,751 |
| | 6,090,516,440 | 6,283,296,751 |
| **TOTAL LIABILITIES AND EQUITY** | **6,097,139,445** | **6,290,124,718** |
| Number of units | 204,000,000 | 204,000,000 |
| **Net assets value per unit** | **$29.86** | **$30.80** |

**NOTE**

1) For the quarter ending 30 September 2021 the following changes in price ocurred:
   - The Republic Financial Holdings Limited share price decreased from $139.93 to $136.31 ($3.62). This resulted in an unrealised loss of $145.1M

2) - Unclaimed dividends represent dividends uncollected by unit holders for the years 2013 to 2018

**Trustee**

**Trustee**

## STATEMENT OF COMPREHENSIVE INCOME

FOR THE QUARTER ENDED 30 SEPTEMBER, 2021

(Expressed in Trinidad and Tobago Dollars)

| | Qtr Ended 30-Sep-21 $ | YTD 30-Sep-21 $ | YTD 30-Sep-20 $ |
|---|---|---|---|
| **INVESTMENT INCOME** | | | |
| Dividend income | - | 40,072,299 | 24,043,379 |
| Interest income | 7,631,133 | 22,721,594 | 22,640,329 |
| Net (loss)/ gain from investment at fair value through profit or loss | (145,061,722) | 52,895,435 | 431,979,383 |
| **Total investment (loss)/ income** | (137,430,589) | 115,689,328 | 478,663,091 |
| **EXPENSES** | | | |
| Fees and expenses | 269,722 | 1,366,574 | 1,140,068 |
| **Total expenses** | 269,722 | 1,366,574 | 1,140,068 |
| **Net (loss)/ income** | (137,700,311) | 114,322,754 | 477,523,023 |
| **Total comprehensive (loss)/ income** | (137,700,311) | 114,322,754 | 477,523,023 |

## UNAUDITED STATEMENT OF CHANGES IN EQUITY

FOR THE QUARTER ENDED 30 SEPTEMBER, 2021

(Expressed in Trinidad and Tobago Dollars)

| | Capital Account $ | Undistributed Income $ | Equity $ |
|---|---|---|---|
| **Balance at 1 April 2021** | 5,100,000,000 | 811,850,905 | 5,911,850,905 |
| Net income | – | 371,445,846 | 371,445,846 |
| **Balance at 30 June 2021** | 5,100,000,000 | 1,183,296,751 | 6,283,296,751 |
| **Balance at 1 July 2021** | 5,100,000,000 | 1,183,296,751 | 6,283,296,751 |
| Net loss | – | (137,700,311) | (137,700,311) |
| Distributions | – | (55,080,000) | (55,080,000) |
| **Balance at 30 September, 2021** | 5,100,000,000 | 990,516,440 | 6,090,516,440 |